849 GlaxoSmithKline Chargeback Reconciliation

Functional Group ID=CF

Introduction:

This Draft Standard for Trial Use contains the format and establishes the data contents of the Response to Product Transfer Account Adjustment Transaction Set (849) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to transmit a detailed or summary response to a party requesting an accounting adjustment relating to a pre-authorized product transfer.

Heading:

	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
Must Use	010	ST	Transaction Set Header	M	1		
Must Use	020	BRC	Beginning Segment for Response to Product Transfer Account Adjustment	M	1		
	050	REF	Reference Identification	O	12		
			LOOP ID - N1			50	
	080	N1	Name	O	1		

Detail:

	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
			LOOP ID - CON			10000	
	010	CON	Contract Number Detail	O	1		
	030	REF	Reference Identification	O	12		
			LOOP ID - N1			50	
	060	N1	Name	O	1		
			LOOP ID - PAD			1000	
	120	PAD	Product Adjustment Detail	O	1		
	130	LIN	Item Identification	O	1		
	140	AAA	Request Validation	O	10		
	170	UIT	Unit Detail	O	5		
	180	QTY	Quantity	O	5		
	190	AMT	Monetary Amount	O	2		n1
	210	REF	Reference Identification	O	12		
	220	DTM	Date/Time Reference	O	10		

Summary:

	Pos. No.	Seg. ID	Name	Req. Des.	Max.Use	Loop Repeat	Notes and Comments
Must Use	010	CTT	Transaction Totals	M	1		n2
	020	AMT	Monetary Amount	O	5		n3
Must Use	030	SE	Transaction Set Trailer	M	1		

Transaction Set Notes

1. The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

2. Number of line items (CTT01) is the accumulation of the number of CON segments. If used, hash total (CTT02) is the sum of the quantities (QTY02) for each QTY segment.

3. The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

Segment:	**ST** Transaction Set Header	
Position:	010	
Loop:		
Level:	Heading	
Usage:	Mandatory	
Max Use:	1	
Purpose:	To indicate the start of a transaction set and to assign a control number	
Syntax Notes:		
Semantic Notes:	1	The transaction set identifier (ST01) is used by the translation routines of the interchange partners to select the appropriate transaction set definition (e.g., 810 selects the Invoice Transaction Set).
Comments:		

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**ST01**	**143**	**Transaction Set Identifier Code**	**M ID 3/3**
			Code uniquely identifying a Transaction Set	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
>>	**ST02**	**329**	**Transaction Set Control Number**	**M AN 4/9**
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	

Segment:	**BRC** Beginning Segment for Response to Product Transfer Account Adjustment			
Position:	020			
Loop:				
Level:	Heading			
Usage:	Mandatory			
Max Use:	1			
Purpose:	To identify the beginning of a Response to Product Transfer Account Adjustment Transaction Set			
Syntax Notes:	1	If either BRC03 or BRC04 is present, then the other is required.		
Semantic Notes:	1	BRC02 is the date of the response to product transfer account adjustment.		

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**BRC01**	**353**	**Transaction Set Purpose Code**	**M ID 2/2**
			Code identifying purpose of transaction set	
			Use '00' for Orig inal	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
>>	**BRC02**	**373**	**Date**	**M DT 8/8**
			Date expressed as CCYYMMDD	
			Use Credit Date	
	BRC03	**128**	**Reference Identification Qualifier**	**X ID 2/3**
			Code qualifying the Reference Identification	
			Use 'CM' for Buyer's Credit Memo	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	BRC04	**127**	**Reference Identification**	**X AN 1/30**
			Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	
			Use Credit Memo Number	

Segment: # REF Reference Identification

Position:	050
Loop:	
Level:	Heading
Usage:	Optional
Max Use:	12
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required.

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
>> REF01	128	**Reference Identification Qualifier** Code qualifying the Reference Identification	M ID 2/3
		Use 'AM' for Adjustment Memo Number Use 'RX' for Resubmit Number	
		Refer to 004010 Data Element Dictionary for acceptable code values.	
REF02	127	**Reference Identification** Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30
		Use Adjustment Memo Number (Chargeback Number) Use Resubmit Number	

Segment:	# N1 Name		
Position:	080		
Loop:	N1 Optional		
Level:	Heading		
Usage:	Optional		
Max Use:	1		
Purpose:	To identify a party by type of organization, name, and code		
Syntax Notes:	1 At least one of N102 or N103 is required.		
	2 If either N103 or N104 is present, then the other is required.		
Semantic Notes:			
Comments:	1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.		

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**N101**	**98**	**Entity Identifier Code**	**M ID 2/3**
			Code identifying an organizational entity, a physical location, property or an individual	
			Use 'DS' for Distributor Number	
			Use 'SU' for Supplier Number	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	N102	**93**	**Name**	**X AN 1/60**
			Free-form name	
	N103	**66**	**Identification Code Qualifier**	**X ID 1/2**
			Code designating the system/method of code structure used for Identification Code (67)	
			Use '11' for DEA Number qualifier	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	N104	**67**	**Identification Code**	**X AN 2/80**
			Code identifying a party or other code	
			For 'DS' use DEA Number	
			For 'SU' use Glaxo DEA Number - II7770101	

Segment: **CON** Contract Number Detail

Position:	010
Loop:	CON Optional
Level:	Detail
Usage:	Optional
Max Use:	1
Purpose:	To specify contract or reference number and status
Syntax Notes:	
Semantic Notes:	
Comments:	

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	CON01	128	**Reference Identification Qualifier**	M ID 2/3

Code qualifying the Reference Identification

Use 'VC'

Refer to 004010 Data Element Dictionary for acceptable code values.

>>	CON02	127	**Reference Identification**	M AN 1/30

Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier

Use Vendor Contract Number

>>	CON03	846	**Contract Status Code**	M ID 2/2

Code designating the current status of the contract

Use 'VA'

Refer to 004010 Data Element Dictionary for acceptable code values.

Segment: **REF** Reference Identification

Position:	030
Loop:	CON Optional
Level:	Detail
Usage:	Optional
Max Use:	12
Purpose:	To specify identifying information
Syntax Notes:	1 At least one of REF02 or REF03 is required.

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**REF01**	**128**	**Reference Identification Qualifier** Code qualifying the Reference Identification	**M ID 2/3**

Use 'C8'

Refer to 004010 Data Element Dictionary for acceptable code values.

	REF02	**127**	**Reference Identification** Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	**X AN 1/30**

Use Corrected Contract Number

Segment: # N1 Name

Position:	060
Loop:	N1 Optional
Level:	Detail
Usage:	Optional
Max Use:	1
Purpose:	To identify a party by type of organization, name, and code
Syntax Notes:	1 At least one of N102 or N103 is required.
	2 If either N103 or N104 is present, then the other is required.
Semantic Notes:	
Comments:	1 This segment, used alone, provides the most efficient method of providing organizational identification. To obtain this efficiency the "ID Code" (N104) must provide a key to the table maintained by the transaction processing party.

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**N101**	**98**	**Entity Identifier Code**	**M ID 2/3**
			Code identifying an organizational entity, a physical location, property or an individual	
			Use 'ST' for Ship To	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	N102	**93**	**Name**	**X AN 1/60**
			Free-form name	
			Use Ship-To Name	
	N103	**66**	**Identification Code Qualifier**	**X ID 1/2**
			Code designating the system/method of code structure used for Identification Code (67)	
			Use '11' for DEA Number qualifier	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	N104	**67**	**Identification Code**	**X AN 2/80**
			Code identifying a party or other code	
			Use DEA Number	

Segment:	**PAD** Product Adjustment Detail		
Position:	120		
Loop:	PAD	Optional	
Level:	Detail		
Usage:	Optional		
Max Use:	1		
Purpose:	To specify the product transfer type, and/or line item number		
Syntax Notes:	1	At least one of PAD01 or PAD02 is required.	
	2	If either PAD04 or PAD05 is present, then the other is required.	
Semantic Notes:	1	PAD01 is the line item identification.	
Comments:			

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
PAD01	**350**	**Assigned Identification**	**X AN 1/20**
		Alphanumeric characters assigned for differentiation within a transaction set	

Segment:		**LIN** Item Identification		

Segment: **LIN** Item Identification

Position: 130
Loop: PAD Optional
Level: Detail
Usage: Optional
Max Use: 1
Purpose: To specify basic item identification data
Syntax Notes:
Semantic Notes: 1 LIN01 is the line item identification
Comments: 1 See the Data Dictionary for a complete list of IDs.
 2 LIN02 through LIN31 provide for fifteen different product/service IDs for each item. For example: Case, Color, Drawing No., U.P.C. No., ISBN No., Model No., or SKU.

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes	
	LIN01	**350**	**Assigned Identification**	**O**	**AN 1/20**
			Alphanumeric characters assigned for differentiation within a transaction set		
			Chargeback Line Number		
>>	**LIN02**	**235**	**Product/Service ID Qualifier**	**ID 2/2**	
			Code identifying the type/source of the descriptive number used in Product/Service ID (234)		
			Use 'ND'		
			Refer to 004010 Data Element Dictionary for acceptable code values.		
>>	**LIN03**	**234**	**Product/Service ID**	**M**	**AN 1/48**
			Identifying number for a product or service		
			Use NDC Number		

	Segment:	**AAA** Request Validation

Position: 140
Loop: PAD Optional
Level: Detail
Usage: Optional
Max Use: 10
Purpose: To specify the validity of the request and indicate follow-up action authorized
Syntax Notes:
Semantic Notes: 1 AAA01 designates whether the request is valid or invalid. Code "Y" indicates that the code is valid; code "N" indicates that the code is invalid.
Comments: 1 If AAA02 is used, AAA03 contains a code from an industry code list.

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	AAA01	1073	**Yes/No Condition or Response Code**	M ID 1/1
			Code indicating a Yes or No condition or response	
			Use 'N' for Invalid	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	AAA02	559	**Agency Qualifier Code**	O ID 2/2
			Code identifying the agency assigning the code values	
			Use 'DR' for National Druggist Association	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	AAA03	901	**Reject Reason Code**	O ID 2/2
			Code assigned by issuer to identify reason for rejection	

Use AA for CONTRACT_NUMBER_MISSING
Use A0 for INVOICE_NUMBER_MISSING
Use A1 for INSUFFICIENT_WHSL_INVENTORY
Use A2 for LINE_ITEM_TOO_OLD
Use A3 for QUANTITY_INVALID_-_FREE_GOODS
Use A4 for REBILL_W/O_OFFSETTING_CREDIT
Use 'BB' for CONTRACT_NUMBER_NOT_FOUND
Use 'CC' for CONTRACT_EXPIRED
Use 'DD' for CONTRACT_NOT_YET_IN_FORCE
Use 'EE' for INVOICE_DTE_MISSING_OR_INVALID
Use 'FF' for CUSTOMER_NOT_COVERED
Use 'GG' for CUSTOMER_EXPIRED
Use 'HH' for CUSTOMER_NOT_YET_ELIGIBLE
Use 'II' for CUSTOMER_ID_NUMBER_MISSING
Use 'JJ' for CUSTOMER_ID_NUMBER_INVALID
Use 'KK' for DRUG_NOT_COVERED
Use 'LL' for DRUG_EXPIRED
Use 'MM' for DRUG_NOT_YET_ELIGIBLE
Use 'NN' for DRUG_NUMBER_MISSING_OR_INVALID
Use 'OO' for WHOLESALER_NOT_COVERED
Use 'PP' for WHOLESALER_EXPIRED
Use 'QQ' for WHOLESALER_NOT_YET_ELIGIBLE
Use 'RR' for QUANTITY_ INVALID_OR_MISSING
Use 'SS' for CONTR_PRC_MISSING_OR_INCORRECT
Use 'TT' for CONT_PRC_INSERTED_OR_CORRECTED
Use 'UU' for UNIT_COST_MISSING_OR_INVALID
Use 'VV' for UNT_COST_INSERTED_OR_CORRECTED
Use 'WW' for EXTENDED_AMOUNT_INCORRECT
Use 'XX' for EXTENDED_AMOUNT_CORRECTED
Use 'YY' for DUPLICATE_CHARGEBACK_REQUEST
Use '09' for NO CREDIT ALLOWED

Segment:	**UIT** Unit Detail
Position:	170
Loop:	PAD Optional
Level:	Detail
Usage:	Optional
Max Use:	5
Purpose:	To specify item unit data
Syntax Notes:	**1** If UIT03 is present, then UIT02 is required.
Semantic Notes:	
Comments:	

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	**UIT01**	**C001**	**Composite Unit of Measure**	**M**
			To identify a composite unit of measure (See Figures Appendix for examples of use)	
			Use 'EA' for Each	
>>	**C00101**	**355**	**Unit or Basis for Measurement Code**	**M ID 2/2**
			Code specifying the units in which a value is being expressed, or manner in which a measurement has been taken	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	UIT02	**212**	**Unit Price**	**X R 1/17**
			Price per unit of product, service, commodity, etc.	
			Use Submitted Contract Price Use Submitted Wholesale Cost Use Contract Price Use Wholesale Cost	
	UIT03	**639**	**Basis of Unit Price Code**	**O ID 2/2**
			Code identifying the type of unit price for an item	
			Use 'SC' for Submitted Contract Price Use 'SW' for Submitted Wholesale Cost Use 'CT' for Contract Price Use 'WH' for Wholesale Cost	
			Refer to 004010 Data Element Dictionary for acceptable code values.	

Segment: # QTY Quantity

Position:	180
Loop:	PAD Optional
Level:	Detail
Usage:	Optional
Max Use:	5
Purpose:	To specify quantity information
Syntax Notes:	1 At least one of QTY02 or QTY04 is required.
	2 Only one of QTY02 or QTY04 may be present.
Semantic Notes:	1 QTY04 is used when the quantity is non-numeric.
Comments:	

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	QTY01	673	**Quantity Qualifier**	M ID 2/2
			Code specifying the type of quantity	
			Use '83' for Submitted Quantity Sold	
			Use '32' for Quantity Sold	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	QTY02	380	**Quantity**	X R 1/15
			Numeric value of quantity	

Segment:		**AMT** Monetary Amount			

Segment: **AMT** Monetary Amount

Position: 190
Loop: PAD   Optional
Level: Detail
Usage: Optional
Max Use: 2
Purpose: To indicate the total monetary amount
Syntax Notes:
Semantic Notes:
Comments:

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes	
>>	AMT01	522	**Amount Qualifier Code**	M	ID 1/3
			Code to qualify amount		
			Use 'S' for Submitted Chargeback Claim Amount		
			Use 'A' for Adjusted Chargeback Claim Amount		
			Refer to 004010 Data Element Dictionary for acceptable code values.		
>>	AMT02	782	**Monetary Amount**	M	R 1/18
			Monetary amount		
			Use Submitted Chargeback Claim Amount		
			Use Adjusted Chargeback Claim Amount		

Segment:	**REF** Reference Identification		
Position:	210		
Loop:	PAD	Optional	
Level:	Detail		
Usage:	Optional		
Max Use:	12		
Purpose:	To specify identifying information		
Syntax Notes:	1	At least one of REF02 or REF03 is required.	

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	REF01	128	**Reference Identification Qualifier** Code qualifying the Reference Identification	M ID 2/3
			Use 'DI' for Distributor Invoice Number Use 'RX' for Resubmit Number	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
	REF02	127	**Reference Identification** Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier	X AN 1/30
			Use Distributor Invoice Number Use Resubmit Code	

Segment:	**DTM** Date/Time Reference
Position:	220
Loop:	PAD Optional
Level:	Detail
Usage:	Optional
Max Use:	10
Purpose:	To specify pertinent dates and times
Syntax Notes:	1 At least one of DTM02 DTM03 or DTM05 is required.
	2 If DTM04 is present, then DTM03 is required.

Semantic Notes:
Comments:

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
>> DTM01	374	**Date/Time Qualifier**	**M ID 3/3**
		Code specifying type of date or time, or both date and time	
		Use '003' for Invoice	
		Refer to 004010 Data Element Dictionary for acceptable code values.	
DTM02	373	**Date**	**X DT 8/8**
		Date expressed as CCYYMMDD	
		Use Invoice Ship Date	

Segment: **CTT** **Transaction Totals**

Position: 010
Loop:
Level: Summary
Usage: Mandatory
Max Use: 1
Purpose: To transmit a hash total for a specific element in the transaction set
Syntax Notes: 1 If either CTT03 or CTT04 is present, then the other is required.

Semantic Notes:
Comments: 1 This segment is intended to provide hash totals to validate transaction completeness and correctness.

Data Element Summary

Ref. Des.	Data Element	Name	Attributes
>> CTT01	354	**Number of Line Items**	M N0 1/6
		Total number of line items in the transaction set	
		Total CON segments	

Segment:	**AMT** Monetary Amount
Position:	020
Loop:	
Level:	Summary
Usage:	Optional
Max Use:	5
Purpose:	To indicate the total monetary amount
Syntax Notes:	
Semantic Notes:	
Comments:	

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	AMT01	522	**Amount Qualifier Code**	M ID 1/3
			Code to qualify amount	
			Use 'S' for Submitted Chargeback Claim Amount	
			Use 'A' for Adjusted Chargeback Claim Amount	
			Refer to 004010 Data Element Dictionary for acceptable code values.	
>>	AMT02	782	**Monetary Amount**	M R 1/18
			Monetary amount	
			Total Submitted Chargeback Claim Amount	
			Total Adjusted Chargeback Claim Amount	

Segment: **SE** **Transaction Set Trailer**

Position:	030
Loop:	
Level:	Summary
Usage:	Mandatory
Max Use:	1
Purpose:	To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)
Syntax Notes:	
Semantic Notes:	
Comments:	1 SE is the last segment of each transaction set.

Data Element Summary

	Ref. Des.	Data Element	Name	Attributes
>>	SE01	96	**Number of Included Segments**	**M N0 1/10**
			Total number of segments included in a transaction set including ST and SE segments	
>>	SE02	329	**Transaction Set Control Number**	**M AN 4/9**
			Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	